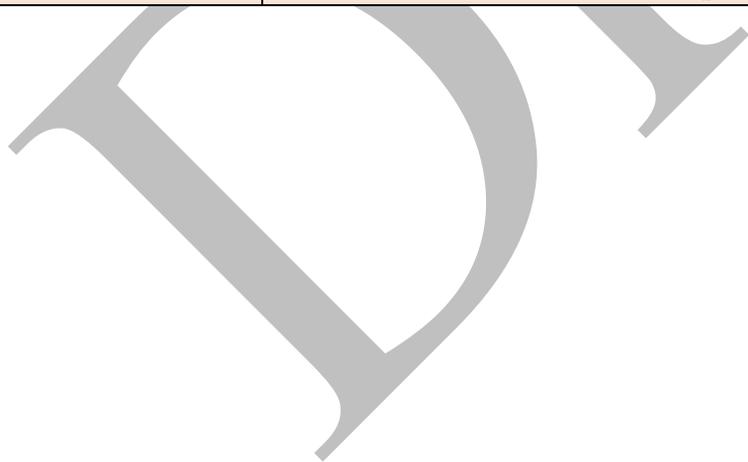


	Role	Responsibilities
<p><b>Prepare: Organization Level</b></p>	Head of Agency	<ul style="list-style-type: none"> <li>• Provide an organization-wide forum to consider all sources of risk; promote collaboration and cooperation; institute the commitment to effectively manage security and privacy risk (Appendix D)</li> <li>• Coordinate with Risk Executive (function) to establish a risk management strategy (Appendix D)</li> <li>• Designate a Senior Accountable Official for Risk Management (Task 1)</li> <li>• Oversee risk management process (Task 2)</li> </ul>
	Chief Information Officer	<ul style="list-style-type: none"> <li>• Ensure an effective security program is established for the organization, including establishing expectations and requirements (Appendix D)</li> <li>• Designate a Senior Agency Information Security Officer (Appendix D)</li> <li>• Ensure an appropriate level of funding and resources to support a robust security program (Appendix D)</li> </ul>
	Mission or Business Owner	<ul style="list-style-type: none"> <li>• Assist in development of organization-wide tailored control baselines and/or profiles (Task 4 [Optional])</li> </ul>
	Risk Executive (function)	<ul style="list-style-type: none"> <li>• Participate in organization-wide forums to consider all types and sources of risk (Appendix D)</li> <li>• Provide input to Head of Agency to determine organizational risk management strategy (Task 2)</li> <li>• Assess ongoing organization-wide security and privacy risk (Task 3)</li> <li>• Develop organization-wide tailored control baselines and/or profiles (Task 4 [Optional])</li> <li>• Coordinate with the Senior Accountable Official for Risk Management to prioritize organizational systems with the same impact level (Task 6 [Optional])</li> <li>• Develop and implement an organization-wide strategy for continuously monitoring control effectiveness (Task 7)</li> </ul>
	Senior Agency Information Security Officer	<ul style="list-style-type: none"> <li>• Support an organization-wide forum to consider all sources of risk (Appendix D)</li> <li>• Coordinate with Senior Agency Official for Risk Management (Appendix D)</li> <li>• Serve as liaison between organization risk management roles and system level risk management roles (Appendix D)</li> <li>• Assign individuals to specific roles associated with security risk management and ensure there are no conflicts of interest in risk management roles (Task 1)</li> <li>• Identify, document, and publish organization-wide common controls (Task 5)</li> </ul>

	Role	Responsibilities
	Senior Agency Official for Privacy	<ul style="list-style-type: none"> <li>• Coordinate with Senior Agency Information Security Officer on privacy and information security activities (Appendix D)</li> <li>• Assign individuals to specific roles associated with privacy risk management and ensure no conflict of interest in privacy risk management roles (Task 1)</li> <li>• Assess ongoing organization-wide privacy risk (Task 3)</li> <li>• Provide input and review to organization-wide tailored privacy control baselines (Task 4 <i>[Optional]</i>)</li> <li>• Identify, document, and publish organization-wide privacy-focused common controls (Task 5)</li> <li>• Assist with developing the continuous monitoring strategy to define privacy reporting requirements (Task 7)</li> </ul>
	Senior Accountable Official for Risk Management	<ul style="list-style-type: none"> <li>• Align information security management processes with strategic, operational, and budgetary planning processes (Appendix D)</li> <li>• Lead the Risk Executive (function) (Appendix D)</li> <li>• Provide input to Head of Agency to determine organizational risk management strategy (Task 2)</li> <li>• Assess ongoing organization-wide security and privacy risk (Task 3)</li> <li>• Review, approve, and publish organization-wide tailored control baselines and/or profiles (Task 4 <i>[Optional]</i>)</li> <li>• Identify, document, and publish organization-wide common controls (Task 5)</li> <li>• Implement comprehensive continuous monitoring program to maintain the initial system or common control authorizations, and security and privacy reporting requirements and recipients (Task 7)</li> </ul>



	Role	Responsibilities
<b>Prepare: System Level</b>	Enterprise Architect	<ul style="list-style-type: none"> <li>• Implement an enterprise architecture strategy that facilitates effective security and privacy solutions; collaborate with system owners and authorizing officials to facilitate authorization boundary determinations (Appendix D)</li> <li>• Coordinate with security and privacy architects on security and privacy issues (Appendix D)</li> <li>• Determine placement of system within the enterprise architecture (Task 9)</li> </ul>
	Information Owner or Steward	<ul style="list-style-type: none"> <li>• Identify the types of information to be processed, stored, and transmitted by the system (Task 5)</li> <li>• Coordinate with the Senior Agency Official for Privacy to identify all parts of the information life cycle for PII (Task 6)</li> <li>• Coordinate with system owners and provide input on protection needs, security and privacy requirements (Task 8 and Appendix D)</li> </ul>
	Mission or Business Owner	<ul style="list-style-type: none"> <li>• Define mission, business functions, and mission/business processes that the system is intended to support (Task 1)</li> </ul>
	System Owner	<ul style="list-style-type: none"> <li>• Identify stakeholders who have an interest in the system (Task 2)</li> <li>• Identify assets that require security and privacy protection (Task 3)</li> <li>• Determine the authorization boundary (Task 4)</li> <li>• Identify the types of information to be processed, stored, and transmitted by the system (Task 5)</li> <li>• Assist Senior Agency Official for Privacy to identify systems that process PII (Task 6)</li> <li>• Conduct a system-level risk assessment and continually update the risk assessment (Task 7)</li> <li>• Define the protection needs and security and privacy requirements for the system (Task 8)</li> <li>• Register the system with organizational program or management offices (Task 10)</li> </ul>
	Senior Agency Official for Privacy	<ul style="list-style-type: none"> <li>• Identify all parts of the information life cycle (Task 6)</li> <li>• Assist with identification of system privacy requirements (Task 8)</li> <li>• Coordinate with system and security officials to ensure compliance with privacy activities (Appendix D)</li> </ul>
	System Security or Privacy Officer	<ul style="list-style-type: none"> <li>• Coordinate with the system owner to determine the authorization boundary (Task 4) and information types (Task 5)</li> <li>• Conduct system-level security and privacy risk assessments (Task 7)</li> </ul>